

DARBY &  
DARBY805 Third Avenue  
New York, NY 10022  
Tel: (212) 527-7700  
Fax: (212) 753-6237

E-MAIL:

FILE # 20241/0203481-USODATE: 8/10/06NUMBER TRANSMITTING TO: 571-273-0459RECIPIENT: PCT Legal DeptCOMPANY: Patent & Trademarks OfficeFROM: Flynn BarrisonNO. OF PAGES TRANSMITTING  
(INCLUDING COVER SHEET)\*: 11CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY  
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

RECEIVED  
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Signature \_\_\_\_\_

Customer No.: 07278

Docket No.: 20241/0203481-US0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Nobuo Mochizuki et. al.

Serial No.: 10/553,108

Filed: October 13, 2005

For: PHENYLAZOLE COMPOUND, PRODUCTION PROCESS THEREFOR AND ANTIOXIDANT

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered and/or  
 omitted.

**Error in****Correct data**

<input type="checkbox"/> Applicant's name	
<input checked="" type="checkbox"/> Applicant's address	Nobuo Mochizuki, <b>Odawara-shi, Japan</b> Nobuhiro Umeda, <b>Odawara-shi, Japan</b> Seiichi Uchida, <b>Naka-gun, Japan</b> Seiichi Ikeyama, <b>Yokohama-shi, Japan</b> Shiro Tsubokura, <b>Odawara-shi, Japan</b> Mitsumasa Takada, <b>Naka-gun, Japan</b>
<input type="checkbox"/> Title	
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

**Remarks:**

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: August 9, 2006



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Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,108	10/13/2005	1614	900	20241/0203481-US0		13	3

CONFIRMATION NO. 8647

CORRECTED FILING RECEIPT



\*OC000000019196914\*

7278  
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 NEW YORK, NY 10150-5257

Date Mailed: 06/09/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Nobuo Mochizuki, Odawara-shi, JAPAN;  
 Nobuhiro Umeda, Odawara-shi, JAPAN;  
 Seiichi Uchida, Odawara-shi, JAPAN;  
 Seiichi Ikeyama, Odawara-shi, JAPAN;  
 Shiro Tsubokura, Odawara-shi, JAPAN;  
 Mitsumasa Takada, Odawara-shi, JAPAN;

**Assignment For Published Patent Application**

Nippon Soda Co., Ltd., Tokyo, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 7278.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/05237 04/13/2004

**Foreign Applications**

JAPAN 2003-109667 04/14/2003

JAPAN 2004-023032 01/30/2004

**If Required, Foreign Filing License Granted:** 05/12/2006

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/553,108**

**Projected Publication Date:** 08/24/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Phenylazole compound, production process therefor and antioxidant

**Preliminary Class**

514

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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CASE#94 OSP-1916

US宣卷 1/5

PTO/SB/106 (05-01)

Approved for use through 10/31/02. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**Declaration and Power of Attorney for Patent Application****特許出願宣言書及び委任状****Japanese Language Declaration****日本語宣言書**

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の以下の並びに記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主として開示して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ二番目の発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

上記発明の明細書はここに添付されているが、下記の點がチェックされている場合は、この限りでない：

の日に提出され、

この出願の米国出願番号またはPCT国際出願番号は、

\_\_\_\_\_であり、且つ

\_\_\_\_\_の口に補正された出願（該当する場合）

私は、上記の誓約書によって被ほされた、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

私は、連邦規則法典第37編規則1.56に定義されている、特許権について必要な情報を開示する義務があることを認める。

**PHENYLAZOLE COMPOUND, PRODUCTION PROCESS THEREFOR AND ANTIOXIDANT**

the specification of which is attached hereto unless the following box is checked:

was filed on April 13, 2004  
as United States Application Number or  
PCT International Application Number  
PCT/JP2004/005237 and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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## Japanese Language Declaration

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I hereby claim foreign priority under Title 35, United States Code Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent, or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Priority Not Claimed  
優先権を主張しない

## Prior Foreign Application(s)

## 外国での実用新案

P2003-109667 (Number) (登録番号)	Japan (Country) (国)	April 14, 2003 (Day/Month/Year Filed) (出願日/月/年)
P2004-023032 (Number) (登録番号)	Japan (Country) (国)	January 30, 2004 (Day/Month/Year Filed) (出願日/月/年)

また、ここに、下記のいかなる米国 provisional 出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status Patented, Pending, Abandoned) (現状:特許許可、待機中、放棄)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Status Patented, Pending, Abandoned) (現状:特許許可、待機中、放棄)

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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

## Practitioners associated with Customer Number 07278:

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DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257

Send Correspondence to:  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257

直通電話番号：（氏名及び電話番号）  
Joseph R. Robinson (212) 527-7783

Direct Telephone Calls to: (name and telephone number)  
Joseph R. Robinson (212) 527-7783

第一または唯一発明者氏名	Full name of sole or first inventor Nobuo MACHIGUCHI	
発明者の署名	Inventor's signature <i>Nobuo Machiguchi</i>	Date Oct. 3, 2005
住所	Residence Odawara-shi, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

第二共同発明者がいる場合、その氏名	Full name of second joint inventor, if any Nobuhiro UMEDA	
第二共同発明者の署名	Second inventor's signature <i>Nobuhiro Umeda</i>	Date Oct. 3, 2005
住所	Residence Odawara-shi, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o. Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

（第三以下の共同発明者についても同様に記載し、署名をすること）

(Supply similar information and signature for third and subsequent joint inventors.)

( + SE 694 OSP-19191  
US 宣告 + /5

PTO/SB/106 (05-00)

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送付先  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257

Send Correspondence to:  
DARBY & DARBY P.C.  
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Joseph R. Robinson (212) 527-7783

Direct Telephone Calls to: (name and telephone number)  
Joseph R. Robinson (212) 527-7783

第一または第三発明者氏名	Full name of third inventor Seiichi UCHIDA	
発明者の署名	日付	Third Inventor's signature Date <i>Seiichi Uchida</i> Oct. 3, 2005
住所	Residence Naka-gun, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

第二共同発明者がいる場合、その氏名	Full name of fourth joint inventor, if any Seiichi IKEYAMA	
第二共同発明者の署名	日付	Fourth inventor's signature Date <i>Seiichi Ikeyama</i> Oct. 3, 2005
住所	Residence Yokohama-shi, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

（第三以下の共同発明者についても同様に記載し、署名をすること）

(Supply similar information and signature for third and subsequent joint inventors.)

US Patent

10/10/05

PTO-SB-106 (05-00)

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P.O. Box 5257  
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直通電話番号：（氏名及び電話番号）  
Joseph R. Robinson (212) 527-7783

Direct Telephone Calls to: (name and telephone number)  
Joseph R. Robinson (212) 527-7783

第一または第二発明者氏名	Full name of fifth inventor Shiro TSUBOKURA	
発明者の署名	日付	Date Oct. 3, 2005
住所	Residence Odawara-shi, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

第二共同発明者がいる場合、その氏名	Full name of sixth joint inventor, if any Mitsumasa TAKADA	
第二共同発明者の署名	日付	Date Oct. 3, 2005
住所	Residence Naka-gun, Japan	
国籍	Citizenship Japan	
郵便の宛先	Post Office Address c/o Odawara Research Center, Nippon Soda Co., Ltd., 345, Takada, Odawara-shi, Kanagawa-ken, Japan	

（第三以下の共同発明者についても同様に記載し、署名をすること）

(Supply similar information and signature for third and subsequent joint inventors.)